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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NO. 826.1650	APPLICATION NO.
<b>LIST OF REFERENCES CITED BY APPLICANT</b> (Use several sheets if necessary)		FIRST NAMED INVENTOR Isao NAKAJIMA, et al.	
		FILING DATE December 28, 2000	GROUP ART UNIT

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
AB	AA	6,185,022B1	2/6/01	Harasawa	359	124	2/23/98
AB	AB	6,219,176B1	4/17/01	Terahara	359	341	7/21/98
	AC						
	AD						
	AE						
	AF						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
AB	AG	JP-A-2000-183818	6/30/00	Japan			English Application Document	
AB	AH	JP-A-11-103287	4/13/99	Japan			Corresponding U.S. Patent 6,185,022	
AB	AI	JP-A-11-212044	8/6/99	Japan			English Application Document	
AB	AJ	JP-A-11-224967	8/17/99	Japan			Corresponding to U.S. Patent 6,219,176	
	AK							
	AL							

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

AB	AM	T. Takahashi, "Q-factor Monitoring Technology - Advanced Signal Quality Evaluation Method for Optical Communication-", Advantest Technical Report, Probo, 1997/No. 10, March 1997 (English translation of pgs. 19-22).
AB	AN	T. Naito, et al., "Active Gain Slope Compensation in Large Capacity, Long-Haul WDM Transmission System", 10 <sup>th</sup> Optical Amplifiers and Their Applications, OAA'99, June 9-11, 1999, Nara, Japan, Optical Society of America (Trends in Optics and Photonics TOPS Volume XXX - Optical Amplifiers and Their Applications, June 9, 1999 (FIGURE 1).
AB	AO	H. Kasai, et al., Supervised by S. Shimada, "SDH Transmission", OHM Publishing Company, September 20, 1993 (English translation of pgs. 37-38, "3.2 Frame Structure of SDH").

EXAMINER AB Zells	DATE CONSIDERED 9/23/03
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	